

07-05-01

A

Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

2209.879 (WSC-2130)

First Inventor

Norbert Coenen

Title

ROTOR SPINNING DEVICE WITH A CONTACTLESS...

Express Mail Label No.

EL666923086US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 202311. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.3. ☒ Specification [Total Pages **11**]
(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **4**]5. Oath or Declaration [Total Pages **3**]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.767. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS9. ☒ Assignment Papers (cover sheet & document(s))10. ☒ 37 CFR 3.73(b) Statement [Power of Attorney]
(when there is an assignee)11. ☐ English Translation Document (if applicable)12. ☒ Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations]13. ☐ Preliminary Amendment14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)15. ☒ Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____

Group / Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

021878

or ☒ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name

Karl S. Sawyer, Jr.

Kennedy Covington Lobdell & Hickman, LLP

Address

Bank of America Corporate Center

100 North Tryon Street, Suite 4200

City

Charlotte

State

NC

Zip Code

28202-4006

Country

US

Telephone

(704) 331-7400

Fax

(704) 331-7598

Name (Print/Type)

Karl S. Sawyer, Jr.

Registration No. (Attorney/Agent)

28,902

Signature



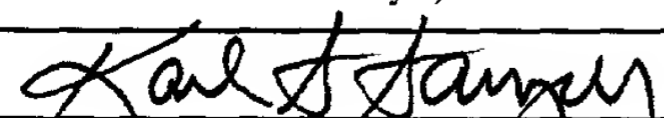
Date

July 3, 2001

Burden Hour Statement: This form is estimated to take 02 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

FEE TRANSMITTAL for FY 2001 <i>Patent fees are subject to annual revision.</i>		Complete if Known	
		Application Number	To Be Assigned
		Filing Date	Concurrently Herewith
		First Named Inventor	Norbert Coenen
		Examiner Name	
		Group Art Unit	
TOTAL AMOUNT OF PAYMENT		\$750.00	
		Attorney Docket No.	2209.879 (WSC-2130)

METHOD OF PAYMENT		FEE CALCULATION (continued)			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 18-1215 Deposit Account Name: Kennedy Covington <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status See 37 CFR § 1.27		3. ADDITIONAL FEES			
2. <input checked="" type="checkbox"/> Payment Enclosed: <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other		Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid			
FEE CALCULATION					
1. BASIC FILING FEE					
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid					
101 710 201 355 Utility filing fee 710.00		105 130 205 65 Surcharge - late filing fee or oath			
106 320 206 160 Design filing fee		127 50 227 25 Surcharge - late provisional filing fee or cover sheet			
107 490 207 245 Plant filing fee		139 130 139 130 Non - English specification			
108 710 208 355 Reissue filing fee		147 2,520 147 2,520 For filing a request for <i>ex parte</i> reexamination			
114 150 214 75 Provisional filing fee		112 920* 112 920* Requesting publication of SIR prior to Examiner action			
SUBTOTAL (1) 710.00		113 1,840* 113 1,840* Requesting publication of SIR after Examiner action			
2. EXTRA CLAIM FEES		115 110 215 55 Extension for reply within first month			
Total Claims 7 -20** = 0 X Fee from below Fee Paid 0.00		116 390 216 195 Extension for reply within second month			
Independent Claims 1 -3** = 0 X Fee from below Fee Paid 0.00		117 890 217 445 Extension for reply within third month			
Multiple Dependent		118 1,390 218 695 Extension for reply within fourth month			
Large Entity Small Entity Fee Fee Fee Fee Code (\$) Code (\$) Fee Description Fee Paid		128 1,890 228 945 Extension for reply within fifth month			
103 18 203 9 Claims in excess of 20		119 310 219 155 Notice of Appeal			
102 80 202 40 Independent claims in excess of 3		120 310 220 155 Filing a brief in support of an appeal			
104 270 204 135 Multiple dependent claim, if not paid		121 270 221 135 Request for oral hearing			
109 80 209 40 ** Reissue independent claims over original patent		138 1,510 138 1,510 Petition to institute a public use proceeding			
110 18 210 9 ** Reissue claims in excess of 20 and over original patent		140 110 240 55 Petition to revive - unavoidable			
SUBTOTAL (2) 0.00		141 1,240 241 620 Petition to revive - unintentional			
**or number previously paid, if greater; For Reissues, see above		142 1,240 242 620 Utility issue fee (or reissue)			
		143 440 243 220 Design issue fee			
		144 600 244 300 Plant issue fee			
		122 130 122 130 Petitions to the Commissioner			
		123 50 123 50 Processing fee under 37 CFR § 1.17(q)			
		126 180 126 180 Submission of Information Disclosure Statement			
		581 40 581 40 Recording each patent assignment per property (times number of properties) 40.00			
		146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))			
		149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))			
		179 710 279 355 Request for Continued Examination (RCE)			
		169 900 169 900 Request for expedited examination of a design application			
		Other fee (specify)			
		SUBTOTAL (3) 40.00			
		*Reduced by Basic Filing Fee Paid			

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Karl S. Sawyer, Jr.	Registration No. (Attorney/Agent)	28,902	Telephone	(704) 331-7400
Signature		Date	July 3, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement. This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Norbert Coenen

Docket No.

2209.879 (WSC-2130)

Serial No.

To Be Assigned

Filing Date

Concurrently Herewith

Examiner

Group Art Unit

Invention: **ROTOR SPINNING DEVICE WITH A CONTACTLESS, PASSIVE, RADIAL BEARING FOR THE SPINNING ROTOR**

I hereby certify that the following correspondence:

Patent Application Transmittal; Fee Transmittal Sheet; Application (11 pages); Formal Drawings (4 pages); 37 C.F.R. Section 3.73(B) Statement and Power of Attorney; German Language Declaration; Certified Copy of Priority Document - German Application No.: 100 32 440.1; Check: \$710.00

(Identify type of correspondence)

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

July 3, 2001*(Date)*Janet L. Babaa*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*EL666923086US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**